

AMENDMENTS TO THE CLAIMS

Please replace all prior versions and listings of claims in the application with the listing of claims as follows:

Listing of Claims

1. (Currently Amended) A processor-implemented method for facilitating definition of a transaction agreement associated with a plurality of different product types, comprising:

receiving information regarding a proposed transaction agreement between a party and a counter-party;

access data included in a covered products matrix associated with the proposed transaction agreement, wherein the covered product matrix identifies at least one product type and at least one financial transaction instrument associated with the proposed transaction agreement, and additional party-counter party agreement information;

automatically determining via a processor an agreement type based at least on characteristics of the identified product type and the financial transaction instrument in the covered product matrix ~~the plurality of product types and a covered products matrix,~~

~~wherein the covered products matrix includes a plurality of covered product types and, for each covered product type, a plurality of transaction instruments, and additional party/counter party agreement information; [[and]]~~

determining, in accordance with the agreement type, an agreement term between the party and the counter-party; and

generating a transaction agreement document based on the determined agreement type and the determined agreement term.

2. (Previously Presented) The method of claim 1, wherein the covered products matrix is associated with at least one of: (i) a plurality of covered product types, or (ii) a plurality of transaction instruments.

3. (Previously Presented) The method of claim 2, wherein at least one covered product type comprises: (i) an equity product, (ii) a stock product, (iii) an index product, (iv) a fixed income product, (v) a bond product, (vi) a bank loan product, (vii) a whole loan product, (viii) an interest rate product, (ix) a credit derivative product, (x) a commodity product, (xi) a metal product, (xii) a energy product, or (xiii) an agriculture product.

4. (Previously Presented) The method of claim 2, wherein at least one transaction instrument comprises: (i) a swap instrument, (ii) an option instrument, (iii) a buy instrument, (iv) a sell instrument, (v) a call instrument, (vi) a put instrument, (vii) a forward instrument, (viii) a pre-paid forward instrument, (ix) a spot instrument, (x) a repurchase agreement instrument, (xi) a loan instrument, (xii) a warrant instrument, or (xiii) a contract for differences instrument.

5. (Previously Presented) The method of claim 2, wherein the covered products matrix further includes at least one of: (i) an indication of approval, (ii) an indication of disapproval, (iii) an indication of a pending status, (iv) compliance authorization information, (v) default information, (vi) party information, (vii) counter-party

information, (viii) legal information, (ix) master agreement information, or (x) credit information.

6. (Previously Presented) The method of claim 1, wherein the transaction agreement is associated with at least one of: (i) a set of rights between the party and the counter-party, or (ii) a legal contract.

7. (Previously Presented) The method of claim 1, wherein the agreement type is associated with at least one of: (i) a set of rights between the party and the counter-party, (ii) a legal contract, (iii) a product type, (iv) a monetary amount, (v) a transaction instrument, (vi) the party, or (vii) the counter-party.

8. (Previously Presented) The method of claim 1, wherein the agreement term is associated with at least one of: (i) a set of rights between the party and the counter-party, (ii) a legal contract, (iii) a product type, (iv) a monetary amount, (v) a transaction instrument, (vi) the party, or (vii) the counter-party.

9. (Original) The method of claim 1, wherein said determining an agreement type comprises:

determining a general document type; and

determining a refinement to the general document type.

10. (Original) The method of claim 1, wherein said automatically determining the agreement term comprises:

defining the agreement term based on a pre-stored default transaction term.

11. (Original) The method of claim 1, wherein said automatically determining the agreement term comprises:

defining the agreement term based on information received from a user of an agreement modeling system.

12. (Original) The method of claim 1, wherein said automatically determining the agreement term comprises:

defining the agreement term based on information received from a satellite system.

13. (Previously Presented) The method of claim 1, wherein the satellite system comprises at least one of: (i) a business system, (ii) a legal system, (iii) a compliance system, (iv) a credit system, (v) a treasury system, or (vi) an operations system.

14. (Previously Canceled)

15. (Original) The method of claim 1, wherein said automatically determining the agreement term comprises:

automatically determining the agreement term based on the plurality of product types.

16. (Currently Amended) A processor-implemented method for facilitating definition of a transaction agreement associated with a plurality of different financial product types, comprising:

receiving information regarding a proposed transaction agreement between a party and a counter-party;

access data included in a covered products matrix associated with the proposed transaction agreement, wherein the covered product matrix identifies at least one product type and at least one financial transaction instrument associated with the proposed transaction agreement, and additional party-counter party agreement information;

determining via a processor an agreement type based at least on characteristics of the identified product type and the financial transaction instrument in the covered product matrix;

determining an agreement term in accordance with the agreement type;

~~generating an indication based on an evaluation of the agreement type and the agreement term; and~~

evaluating the agreement type and the agreement term based on ~~the plurality of financial product types and~~ [[a]] the covered financial products matrix[[,]] ; and

generating a status indication based on the evaluation of the agreement type and the agreement term

~~wherein the covered products matrix includes a plurality of covered product types, for each covered product type a plurality of transaction instruments, and additional party/counter party agreement information.~~

17. (Previously Canceled)

18. (Previously Presented) The method of claim 16, wherein the indication is provided to at least one of: (i) a user of an agreement modeling system, or (ii) a satellite system.

19-36. (Previously Canceled).

37. (Previously Presented) The method of claim 16, wherein the transaction agreement is associated with at least one of: (i) a risk management transaction, (ii) an over the counter product, (iii) an equity derivative, (iv) a commodity transaction, (v) an electricity transaction, (vi) a foreign exchange transaction, (vii) a currency option, (viii) a bond option, (ix) a synthetic agreement for forward exchange, (x) a reciprocal purchase agreement, (xi) an interest rate swap, (xii) an interest rate cap, (xiii) an interest rate collar, (xiv) an interest rate floor, (xv) a forward rate agreement, (xvi) a forward rate bill agreement, or (xvii) an option to enter into an underlying interest rate swap transaction.

38. (Previously Presented) The method of claim 16, wherein the transaction agreement comprises at least one of: (i) an INTERNATIONAL SWAP DEALERS ASSOCIATION® agreement, (ii) a foreign exchange & options master agreement, (iii) an agreement associated with one or more currencies, or (iv) an agreement associated with one or more jurisdictions.

39. (Previously Presented) The method of claim 16, wherein the transaction agreement includes at least one of: (i) date information, (ii) agreement interpretation information, (iii) obligation information, (iv) representation information, (v) sub-agreement information, (vi) default event information, (vii) termination event information, (viii) transfer information, (ix) expenses information, (x) notice information, (xi) governing law information, (xii) definition information, a master agreement, (xiii) a schedule to a master agreement, or (xiv) at least one addenda to a master agreement.

40. (Previously Presented) The method of claim 16, wherein the transaction agreement is associated with at least one agreement fact, and further wherein the at least one agreement fact comprises at least one of: (i) a party identifier, (ii) a counter-party identifier, (iii) an agreement identifier, (iv) a name, (v) address information, (vi) contact information, (vii) an effective date, (viii) an expiration date, (ix) an area of origin, (x) an indication of governing law, (xi) an area of organization, (xii) a standard industrial classification code, (xiii) a functional business area, or (xiv) beneficial ownership information.

41.(Previously Presented) The method of claim 40, wherein the at least one agreement fact is associated with at least one of: (i) a data type, (ii) a data source, (iii) a security class, or (iv) an attribute.

42. (Previously Presented) An apparatus for facilitating definition of a transaction agreement associated with a plurality of different product types, comprising:

a processor; and

a storage device in communication with said processor and storing instructions adapted to be executed by said processor to:

receive information regarding a proposed transaction agreement between a party and a counter-party;

access data included in a covered products matrix associated with the proposed transaction agreement, wherein the covered product matrix identifies at least one product type and at least one financial transaction instrument associated with the proposed transaction agreement, and additional party-counter party agreement information;

~~automatically~~ determine an agreement type based at least on characteristics of the identified product type and the financial transaction instrument in the covered product matrix ~~the plurality of product types and a covered products matrix,~~

~~wherein the covered products matrix includes a plurality of covered product types and, for each covered product type, a plurality of transaction instruments, and additional party/counter party agreement information; [[and]]~~

determine, in accordance with the agreement type, an agreement term between the party and the counter-party; and

generate a transaction agreement document based on the determined agreement type and the determined agreement term.

43. (Original) The apparatus of claim 42, wherein said storage device further stores an agreement information database.

44. (Previously Presented) The apparatus of claim 42, further comprising:

a communication device coupled to said processor and adapted to communicate with at least one of: (i) a client device, (ii) an agreement modeling system controller, or (iii) a satellite system.

45. (Currently Amended) A processor-readable medium storing instructions adapted to be executed by a processor to perform a method of facilitating definition of a transaction agreement associated with a plurality of different product types, said method comprising:

receive information regarding a proposed transaction agreement between a party and a counter-party;

access data included in a covered products matrix associated with the proposed transaction agreement, wherein the covered product matrix identifies at least one product type and at least one financial transaction instrument associated with the proposed transaction agreement, and additional party-counter party agreement information;

~~automatically~~ determine an agreement type based at least on characteristics of the identified product type and the financial transaction instrument in the covered product matrix ~~the plurality of product types and a covered products matrix,~~

~~wherein the covered products matrix includes a plurality of covered product types and, for each covered product type, a plurality of transaction instruments, and additional party/counter party agreement information; [[and]]~~

determine, in accordance with the agreement type, an agreement term between the party and the counter-party; and

generate a transaction agreement document based on the determined agreement type and the determined agreement term.